AUTHORIZATION AND APPROPRIATION

OR

"It Takes Two to Tango"

REFERENCES

- EC 11-2-179, Annual Program & Budget Request for Civil Works Activities, Corps of Engineers, Fiscal Year 2002

- Project Partnership Kit

OBJECTIVES

1. To be able to identify the various sources of authorities to conduct a study.

2. To be able to identify the law which provides funding for Corps Civil Works activities.

AUTHORIZATION

- Permission to undertake a specific activity
- Corps needs separate authorization for studies and project construction

STUDY AUTHORIZATION PROCESS

- Local officials ask Corps for assistance
- Locals ask congressional delegation for study authorization.
- Member asks House T&I or Senate E&PW Committee to authorize study.
- Committee asks Corps for information.
- Committee adopts study resolution.

Study authority can also be included in WRDA or appropriations act

EXAMPLE STUDY RESOLUTION

COMMITTEE ON PUBLIC WORKS AND TRANSPORTATION
U.S. HOUSE OF REPRESENTATIVES
WASHINGTON, D.C.

R E S O L U T I O N

Corpus Christi, Texas
Docket 2341

Resolved by the Committee on Public Works and Transportation of the United States House of Representatives, That the Board of Engineers for Rivers and Harbors, is requested to review the reports on the Port Aransas-Corpus Christi Ship Channel, Texas (45-Foot Project) published as House Document 99, 90th Congress, Second Session, and other pertinent reports to determine the feasibility of modifying the Corpus Christi Ship Channel, with particular emphasis on the La Quinta Channel and on Harbor Island, in the interest of commercial navigation and related purposes.

Adopted: August 1, 1990

ATTEST:

GLENN M. ANDERSON, Chairman

(Requested by Representative Solomon Ortiz)

STANDING STUDY AUTHORITIES

- Section 216, Flood Control Act 1970
- Section 2, 1958 Fish & Wildlife Coordination Act
- Continuing Authorities Program

PROJECT AUTHORIZATION PROCESS

- ASA(CW) sends Corps reports to Congress.
- House T&I and Senate E&PW Committees draft bills and hold hearings - Corps testifies.
- House passes bill; Senate passes bill.
- President signs legislation.

Project authority can also be included in appropriations act

EXAMPLE PROJECT AUTHORIZATION

TITLE IV—FLOOD CONTROL

SEC. 401. AUTHORIZATION OF PROJECTS.

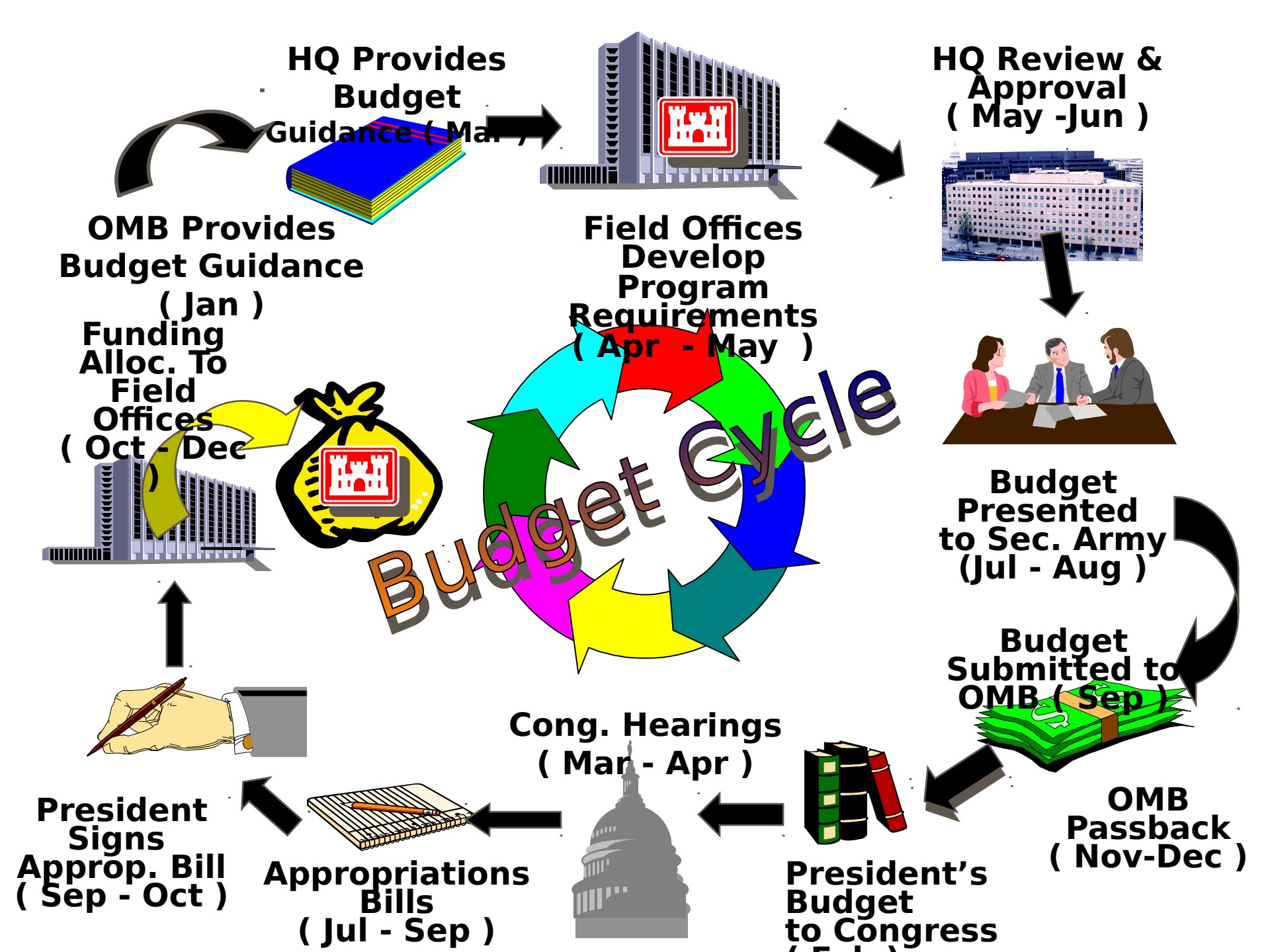
(a) AUTHORIZATION OF CONSTRUCTION.—The following works of improvement for the control of destructive floodwaters are adopted and authorized to be prosecuted by the Secretary substantially in accordance with the plans and subject to the conditions recommended in the respective reports designated in this subsection, except as otherwise provided in this subsection:

VILLAGE CREEK, ALABAMA

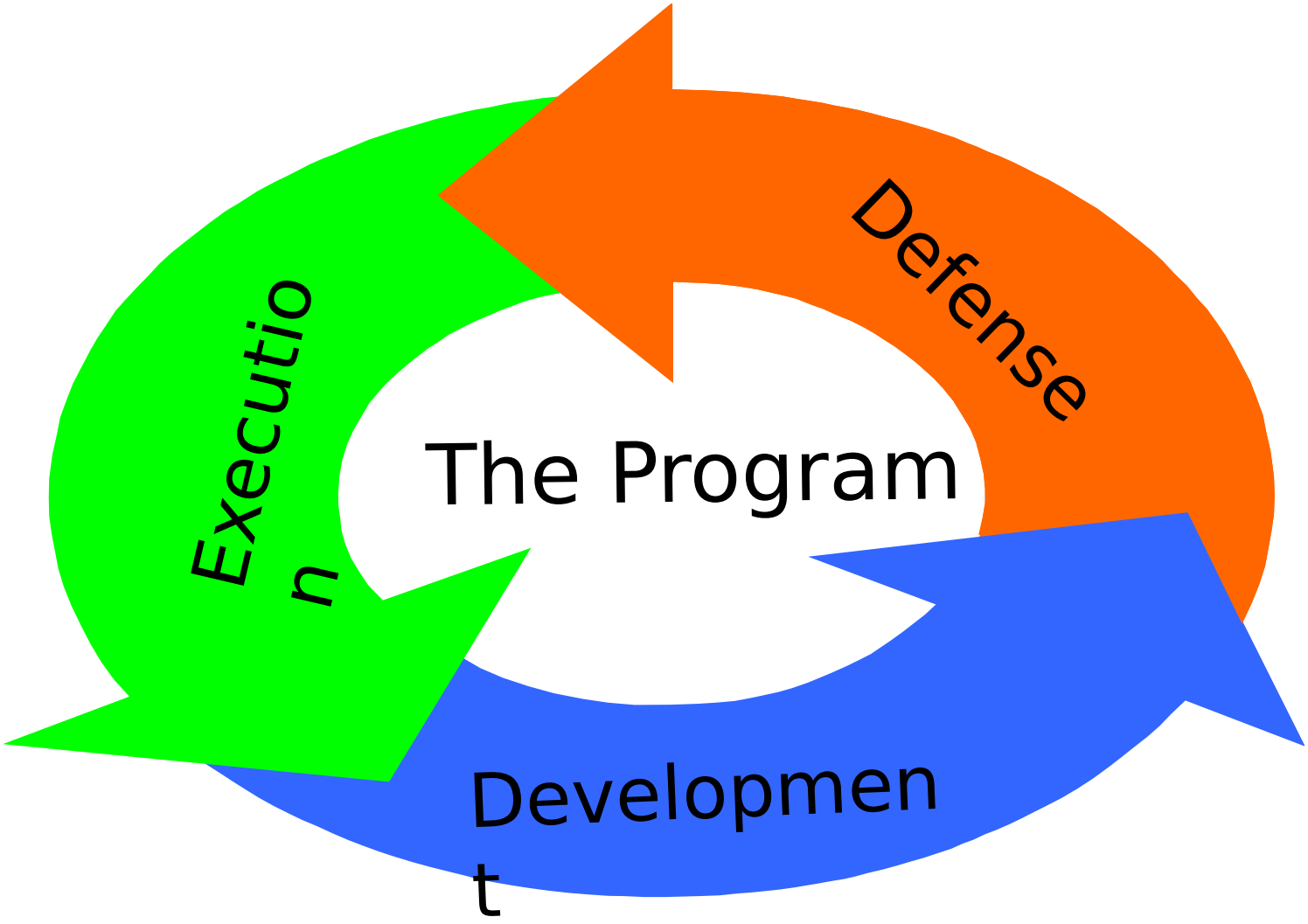
The project for flood control, Village Creek, Jefferson County, Alabama: Report of the Chief of Engineers, dated December 23, 1982, at a total cost of $31,600,000, with an estimated first Federal cost of $23,600,000 and an estimated first non-Federal cost of $8,000,000.

APPROPRIATION

- Approval to spend $ for an activity
- 13 Appropriations Acts fund the U. S. Government
- Corps Civil Works appropriations are in the Energy and Water Development Appropriations Act


HQ Provides Budget Guidance (Mar)
HQ Review & Approval (May -Jun)
OMB Provides Budget Guidance (Jan)
Field Offices Develop Program Requirements (Apr - May)
Funding Alloc. To Field Offices (Oct - Dec)
Budget Cycle
Budget Presented to Sec. Army (Jul - Aug)
Budget Submitted to OMB (Sep)
OMB Passback (Nov-Dec)
Cong. Hearings (Mar - Apr)
President's Budget to Congress
Appropriations Bills (Jul - Sep)
President Signs Approp. Bill (Sep - Oct)

THREE PHASES OF THE CIVIL WORKS PROGRAM



FY 2001 PRESIDENT'S BUDGET REQUEST (Billions)

TOTAL	1,885	
Corps	4	(0.2%)
Agriculture	23	(1.2%)
Education	74	(3.9%)
Interest on Debt	208	(11.0%)
Medicare	220	(11.7%)
Defense	305	(16.2%)
Social Security	427	(22.7%)

FY 2000 CORPS CIVIL WORKS APPROPRIATIONS (millions)

Operation & Maintenance	1,854	(45.1%)
Construction General	1,372	(33.4%)
FC, MR&T	309	(7.5%)
General Investigations	161	(3.9%)
General Expenses	150	(3.6%)
FUSRAP	150	(3.6%)
Regulatory Program	117	(2.8%)
FC & CE	0	(0.0%)
TOTAL	4,113	

BUDGET JUSTIFICATION DATA

- Concentrate on priority problems
- Cite specific facts to describe the problem
  - Navigation - commodities, use restrictions, delays, damages from groundings
  - Flood Damage Reduction - flood event dates & damages, developments affected, depth & duration
  - Restoration - type, acreage & significance of habitats affected
- Indicate sponsor's willingness to share costs
- Tell the truth

SUMMARY

- The sources of study authority include:
  - House or Senate Committee Resolution
  - Appropriations Act or WRDA
  - Section 216 of 1970 Flood Control Act
  - Section 2 of 1958 Fish & Wildlife Coord. Act
  - Continuing Authorities Program
- The Energy & Water Development Appropriations Act provides Corps Civil Works funding